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1FWFACSIMILE TRANSMITTAL LETTER

PAGE 1 OF 9

THIRD REQUEST

PLEASE GIVE THIS MATTER YOUR URGENT ATTENTION

DATE: September 16, 2005

TO: Initial Patent Examination's Filing Receipt Corrections

RE: Applicants: Yoshinori Katoh et al.
Serial No. 10/506,449
Filing Date: September 1, 2004
Our Ref: 576P068

FAX NO: (703) 746-9195

FROM: Kevin S. Lemack
Registration No. 32,579
Nields & Lemack
176 E. Main Street
Westboro, MA 01581

TEL: (508) 898-1818

FAX: (508) 898-2020

MESSAGE:

Applicants respectfully ask to be advised as to the present status of the corrected filing receipt in the above application.

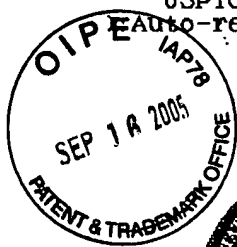
A request for correction of the filing receipt was faxed on March 14, 2005 and a reminder was faxed on June 16, 2005 (copy enclosed).

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Kevin S. LemackSeptember 16, 2005
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| 06/16/2005 14:39 | 5088982020 | NLDLAW | PAGE 01 |
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| PAGE 1 OF 7 | | | |
| SECOND REQUEST | | | |
| DATE: | June 16, 2005 | | |
| TO: | Initial Patent Examination's Filing Receipt Corrections | | |
| RE: | Applicants: Yoshinari Kato et al. Serial No. 10/506,449 Filing Date: September 1, 2004 Our Ref: 676P063 | | |
| FAX NO: | (703) 746-9196 | | |
| FROM: | Kevin S. Lemack Registration No. 32,579 Nicola & Lemack 176 E. Main Street Westboro, MA 01581 | | |
| FAX: | (308) 898-2020 | TEL: | (308) 898-1818 |
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| PAGE 17 * RCVD AT 9/16/2005 3:15:01 PM [Eastern Daylight Time] * SVR:USPTO-EFXXF-21 * DNIS:7469196 * CSID:5088982020 * DURATION (mm-ss):03:36 | | | |

**FACSIMILE TRANSMITTAL LETTER**

PAGE 1 OF 7

SECOND REQUEST

DATE: June 16, 2005

TO: Initial Patent Examination's Filing Receipt Corrections

RE: Applicants: Yoshinori Katoh et al.
Serial No. 10/506,449
Filing Date: September 1, 2004
Our Ref: 576P068

FAX NO: (703) 746-9195

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176 E. Main Street
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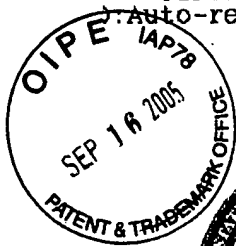
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PAGE 1 OF 5

DATE: March 14, 2005

TO: Initial Patent Examination's Filing Receipt Corrections

RE: Applicants: Yoshinori Kato et al.
Serial No. 10/506,449
Filing Date: September 1, 2004
Our Ref: 576P068

FAX NO: (703) 746-0195

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MESSAGE:

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The filing receipt incorrectly listed the title. Please correct the following:

"Phthalocyanine compound, water base ink composition and colored material"


should read

Phthalocyanine compounds, water-based ink compositions and colored products -

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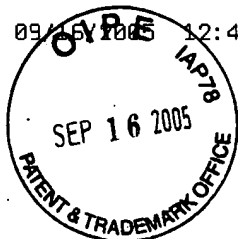
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**FACSIMILE TRANSMITTAL LETTER**

PAGE 1 OF 5

DATE: March 14, 2005

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Serial No. 10/506,449
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"Phthalocyanine compound, water-base ink composition and colored material"


should read

-- Phthalocyanine compounds, water-based ink compositions and colored products --

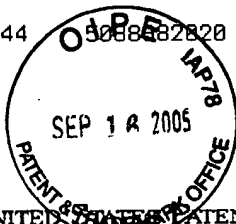
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CONFIRMATION NO. 9975

42764

NIELDS & LEMACK
 176 EAST MAIN STREET, SUITE 7
 WESTBORO, MA 01581

FILING RECEIPT



OC000000015133795

Date Mailed: 02/14/2005

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Applicant(s)

Yoshinori Katoh, Saitama, JAPAN;
 Isao Yamaguchi, Chiba, JAPAN;

Power of Attorney:

Kevin Lemack-32579

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/02559 03/05/2003

Foreign Applications

JAPAN 2002-62281 03/07/2002

Projected Publication Date: 05/19/2005

Non-Publication Request: No

Early Publication Request: No

Title

Phthalocyanine compound^s, water-base^d ink composition^s and colored ~~material~~^{Products}

Preliminary Class

106

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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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
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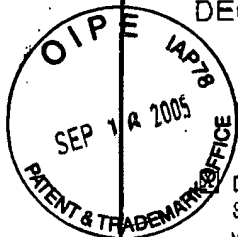
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Approved for use through 10/31/2003. OMB 0551-0032

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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

Declaration
Submitted
with Initial
Filing

OR

☐ Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e))
required)

| | |
|------------------------|-----------------|
| Attorney Docket Number | 576P068 |
| First Named Inventor | Yoshinori Katoh |
| COMPLETE IF KNOWN | |
| Application Number | / |
| Filing Date | |
| Group Art Unit | |
| Examiner Name | |

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

PHTHALOCYANINE COMPOUNDS, WATER-BASED INK COMPOSITIONS
AND COLORED PRODUCTS

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY). 03/05/2003

as United States Application Number or PCT International

Application Number PCT/JP03/02559 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed | Certified Copy Attached? | |
|-------------------------------------|---------|----------------------------------|--------------------------|------------------------------|-----------------------------|
| 2002-62281 | JAPAN | 03/07/2002 | <input type="checkbox"/> | YES <input type="checkbox"/> | NO <input type="checkbox"/> |

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

| Application Number(s) | Filing Date (MM/DD/YYYY) | <input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto. |
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DECLARATION — Utility or Design Patent Application

Direct all correspondence to: ☒ Customer Number or Bar Code Label 42754 OR ☒ Correspondence address below

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Country U.S.A.

Telephone (508) 898-1818

Fax (508) 898-2020

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR :

☐ A petition has been filed for this unsigned inventorGiven Name YOSHINORI
(first and middle (if any))Family Name KATOH
or SurnameInventor's
Signature

Yoshinori Katoh

Date

MAY 24, 2004

Residence: City

Saitama

State

Country JAPAN

Citizenship

JP

Mailing Address

2-1639-1, Nisshin-cho, Kita-ku, Saitama-shi, Saitama 331-0823 JAPAN

Mailing Address

City Saitama

State

ZIP 331-0823

Country JAPAN

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventorGiven Name ISAO
(first and middle (if any))Family Name YAMAGUCHI
or SurnameInventor's
Signature

Isao Yamaguchi

Date

MAY 24, 2004

Residence: City

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State

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1-19-4, Nishimatsugaoka, Nagareyama-shi, Chiba 270-0142 JAPAN

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City Chiba

State

ZIP 270-0142

Country JAPAN

☐ Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

(Page 2 of 2)